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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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|------------------|--|-------------|----------------|
| Inventor: | DAVIS et al. | Examiner: | To be assigned |
| Application No.: | 10/773,568 | Art Unit: | 2643 |
| Filed: | February 5, 2004 | Docket No.: | ARELP012C2 |
| Title: | DYNAMIC DECORRELATOR FOR AUDIO SIGNALS | | |

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in a prepaid envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on:

11-8-, 2004. Vicki Lorist
Vicki Lorist

RESPONSE TO NOTICE TO FILE MISSING PARTS

MAIL STOP MISSING PARTS

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

This is a Response to Notice to File Missing Parts mailed **MAY 7, 2004**.

Application Elements:

- ☒ Declaration
- ☐ Newly executed (original or copy)
- ☒ Copy from a prior application (37 CFR 1.63(d) for a continuation or divisional).
The entire disclosure of the prior application from which a copy of the declaration is herein supplied is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
- ☐ Deletion of inventors Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

Accompanying Application Parts:

- ☒ Information Disclosure Statement with Form PTO-1449 ☐ Copies of IDS Citations
- ☐ Preliminary Amendment (*New claims numbered after highest original claim in prior application.*)
- ☒ Return Receipt Postcard
- ☒ Copy of Notice to File Missing Parts of NonProvisional Application
- ☐ Other:

Amendments

☐ Cancel in this application original claims _____ of the prior application before calculating the filing fee. (*At least one original independent claim must be retained.*)

Fee Calculation (37 CFR § 1.16)

| | Claims | | Extra | Small Entity | | | Large Entity | |
|-------------------------------|--------|---------|-------|---------------------|-----|----|---------------------|-------------|
| | | | | Rate | Fee | | Rate | Fee |
| Total Claims | 22 | Less 20 | 2 | x \$9 = \$ | | OR | x \$18 = \$ | 36 |
| Indep Claims | 4 | Less 3 | 1 | x \$44 = \$ | | OR | x \$88 = \$ | 88 |
| Multiple Dependent Claims | | | | x \$150 = \$ | | OR | x \$300 = \$ | -0- |
| Filing Fee | | | | X \$395 = \$ | | OR | X \$790 = \$ | 790 |
| Declaration and Surcharge Fee | | | | X \$65 = \$ | | OR | X \$130 = \$ | 130 |
| | | | | TOTAL FILING FEE \$ | | | TOTAL FILING FEE \$ | 1044 |

☒ Applicants petition for an extension of time to respond under 37 CFR § 1.136(a) as follows:

| | SMALL ENTITY | | | LARGE ENTITY | |
|--|---------------|-----------|----|---------------|-------------|
| | Rate | Add'l Fee | | Rate | Add'l Fee |
| <input type="checkbox"/> Extension for Response within FIRST month | x \$55 = \$ | | OR | x \$110 = \$ | |
| <input type="checkbox"/> Extension for Response within SECOND month | x \$215 = \$ | | OR | x \$430 = \$ | |
| <input type="checkbox"/> Extension for Response within THIRD month | x \$490 = \$ | | OR | x \$980 = \$ | |
| <input checked="" type="checkbox"/> Extension for Response within FOURTH month | x \$765 = \$ | | OR | x \$1530 = \$ | 1530 |
| <input type="checkbox"/> Extension for Response within FIFTH month | x \$1040 = \$ | | OR | x \$2080 = \$ | |

☒ Check No.1475 for \$2,574 is enclosed. [\$ 1044 filing fee: \$1530 extension of time fee.]

General Authorizations


☒ Applicants hereby make and generally authorize any Petitions for Extensions of Time as needed for this or any subsequent filings. The Commissioner is also authorized to charge any extension fees under 37 CFR §1.17 as needed to Deposit Account No. 50-0685 (Order No. ARELP012C2).

☒ The Commissioner is given general authorization to charge any fees or to credit any overpayment during the pendency of this application to Deposit Account No. 50-0685 (Order No. ARELP012C2).

☒ Please send correspondence to the following address:

Customer No. 21912
VAN PELT & YI LLP
10050 N. Foothill Blvd.
Suite 200
Cupertino, CA 95014

Date: 11-8-04



Lee Van Pelt
Reg. No. 38,352

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UNITED STATES DEPARTMENT OF COMMERCE
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| APPLICATION NUMBER | FILING OR 371 (c) DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|------------------------|-----------------------|------------------------|
| 10/773,568 | 02/05/2004 | Stephen A. Davis | ARELP012C2 |

RECEIVED

CONFIRMATION NO. 3551

21912
 VAN PELT & YI LLP
 10050 N. FOOTHILL BLVD #200
 CUPERTINO, CA 95014

MAY 10 2004

FORMALITIES LETTER

VAN PELT & YI LLP

Van Pelt & Yi LLP

MAY 12 2004

Date Mailed: 05/07/2004

DOCKETED

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$⁷⁹⁰770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Additional claim fees of ¹²⁴\$122 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is ¹⁰⁴⁴\$1022 for a Large Entity

- ⁷⁹⁰\$770 Statutory basic filing fee.
- \$130 Late oath or declaration Surcharge.


11/15/2004 EHAILE1 00000004 10773568

| | | |
|------------|---------|----|
| 01 FC:1001 | 790.00 | OP |
| 02 FC:1051 | 130.00 | OP |
| 03 FC:1201 | 88.00 | OP |
| 04 FC:1202 | 36.00 | OP |
| 05 FC:1254 | 1530.00 | OP |

- Total additional claim fee(s) for this application is ~~\$122~~¹²⁴
 - ~~\$86~~⁸⁸ for 1 independent claims over 3.
 - \$36 for 2 total claims over 20.

Replies should be mailed to: Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

A copy of this notice MUST be returned with the reply.



Customer Service Center
Initial Patent Examination Division (703) 308-1202
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